

ATTORNEY DOCKET NO.  
11321-P077WOUS

PATENT  
Serial No. 10/575,352



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Application of: Richard E. Smalley, Deceased, et al.  
 Serial No: 10/575,352  
 International App'l No: PCT/US2004/34002  
 Int'l Filing Date: October 14, 2005  
 Group Art Unit: Unknown  
 Confirmation No: 9439  
 Title: Amplification of Carbon Nanotubes Via Seeded-Growth Methods

Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Dear Sir:

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office, issued on October 20, 2006, enclosed are the following documents:

1. Declarations signed by all the inventors;
- 2.. A copy of the Notification of Missing Requirements;
3. Petition for Extension of Time (4-month);
4. Postal Receipt Card.

Applicants hereby authorize the Commissioner for Patents to charge the required fees (late oath, claims, search fee, and Petition fee) to the Winstead Sechrest & Minick P.C.

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Deposit Account No. 23-2426. Any questions regarding this filing should be directed to the attorney below.

Dated:

4/18/07

Respectfully submitted,

  
Robert C. Shaddox  
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ATTORNEY FOR APPLICANTS

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10**

I hereby certify that the attached *Response to Notice Notification of Missing Requirements* is being deposited with the USPS, with sufficient postage, as "Express Mail – Post Office to Addressee" service, mailing label number EL812636992US, addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date below:

4/19/07  
Date

J. Z. Doss  
Signature

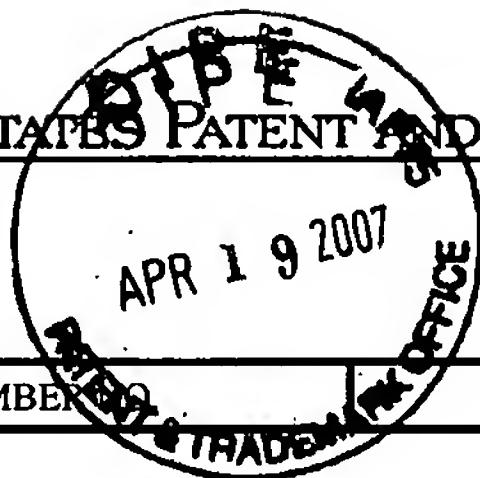
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## UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE  
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U.S. APPLICATION NUMBER	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/575,352	RICHARD E. Smalley	11321P077WOUS

INTERNATIONAL APPLICATION NO.

PCT/US04/34002

I.A. FILING DATE	PRIORITY DATE
10/14/2004	10/14/2003

47744  
 ROSS SPENCER GARSSON  
 WINSTEAD SECHREST & MINICK P.C.  
 P. O. BOX 50784  
 DALLAS, TX 75201

RECEIVED ON

OCT 25 2006

BY WINSTEAD

CONFIRMATION NO. 9439

371 FORMALITIES LETTER



\*OC000000020906923\*

Date Mailed: 10/20/2006

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 04/11/2006
- Preliminary Amendments filed on 04/11/2006
- Small Entity Statement filed on 04/11/2006
- Request for Immediate Examination filed on 04/11/2006
- U.S. Basic National Fees filed on 04/11/2006
- Priority Documents filed on 04/11/2006
- Specification filed on 04/11/2006
- Claims filed on 04/11/2006
- Abstracts filed on 04/11/2006
- Drawings filed on 04/11/2006

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01 FC:2617 65.00 DA  
02 FC:2614 100.00 DA

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$100 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath

or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$215** for a Small Entity:

- **\$65 Surcharge.**
  - The application search fee is insufficient. Applicant must submit **\$50** to complete the search fee. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.
  - Total additional claim fee(s) for this application is **\$ 100**
    - **\$100 for 5 independent claims over 3.**

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

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Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/575,352	PCT/US04/34002	11321P077WOUS

FORM PCT/DO/EO/905 (371 Formalities Notice)